

Service

Financial and Operational Audit

Testing of information, processes and controls against defined criteria, with findings documented to withstand scrutiny.

An audit carried out with the perspective of disputes and investigations.

SGEx conducts audits with the later use of its conclusions in mind: in boards, before oversight bodies or in contentious proceedings. Every finding is reported with its evidence and criterion, and every control weakness with the financial risk it creates.

When clients call on SGEx

Review requested by shareholders, boards or investors.

Examination of accounts for funds managed by third parties.

Testing of purchasing, payment and procurement processes.

Assessment of controls after irregularities have been identified.

Entities subject to oversight by public control bodies.

How SGEx works

Criteria before testing

Scope and assessment criteria defined before samples are selected.

Full populations where possible

The entire data set analyzed whenever the information allows.

Findings with evidence

Every conclusion referenced to the document and the criterion that support it.

Conclusions are documented to withstand future scrutiny.

What We Solve

Questions an audit answers.

Do the financial statements meet the criteria?

Compliance of records and financial statements with the applicable criteria.

Do the controls work?

Design and operation of controls over revenue, purchasing and payments.

Do the accounts reconcile?

Reconciliation of accounts and financial movements.

Are expenses supported?

Supporting documentation for expenses and disbursements.

Were the funds properly applied?

Accountability between managers and funders.

Where is the risk?

Weaknesses that allow errors or diversion.

How We Approach It

Steps applied to this type of engagement.

1
Scope and criteria

Defining what will be assessed and against which benchmark.

2
Selection

Samples or full populations, depending on the data.

3
Testing

Documented execution of procedures.

4
Report

Findings with evidence, risks and a remediation plan.

What we examine: financial statements and accounting records, purchasing and payment processes, contracts, accounts rendered, internal policies and operational databases.

Deliverables: audit report with findings and evidence, controls and risk matrix, report on accounts rendered, process remediation plan and technical opinion.

Related Topics

More on each topic at sgexpericias.com.br

Services

Forensic Accounting
Fraud Investigations
Accounting Expert Services

Specialties

Forensic Accounting and Audit
Accounting Claims

Areas of Law

White-Collar Crime
Corporate and Commercial
Audit Courts and Oversight Bodies
Civil and Contract Law

Industries

Government and Public Sector
Financial Services and Capital Markets
Associations, Foundations and Non-Profits
Crypto and Digital Assets
Pharmaceuticals and Drug Distribution

SGEx at a Glance

Figures reported by SGEx, updated periodically.

400+

engagements completed

100+

court cases in progress

300+

clients served

200+

law firms served

500+

reports, expert reports and opinions delivered

BRL 100M+

in fraud and irregularities identified

Why Talk to SGEx

Expert Services, Disputes and Forensics.

Technical independence

SGEx does not provide legal advice or represent interests: it examines, calculates and demonstrates.

Multidisciplinary team

Accounting, economics, law, business administration, finance and engineering on the same engagement.

Forensic experience

Professionals with prior roles at FTI Consulting, PwC, BDO, Deloitte, KPMG and Mazars.

Traceable methodology

Explicit assumptions, calculation workpapers and conclusions that third parties can replicate.

Who Leads the Work

Accounting, financial and process audit.



Sidney Gomes

Founder and Managing Partner of SGEx Group

Auditor with prior roles at KPMG, Deloitte, BDO and PwC. Works on investigative, preventive and special audits, including condominium audits.



Marco Bole

Managing Partner, Advisory and Finance

Business administrator (CRA-SP) and former auditor at Mazars. Works on investigative, preventive and special audits and leads the condominium and non-profit practice.



Raul Palácio

Managing Partner, Engineering, Energy and Renewables

Works on process, procedure and methods audits and on quality audits of engineering projects. Electrical engineer (CREA-SP).

Request an Assessment

Describe the entity, the purpose of the review and the period to be examined. SGEx will assess the scope of the audit before submitting any proposal.

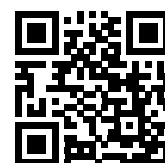
WhatsApp +55 11 96501-2034

contato@sgex.com.br

Phone +55 11 5081-3667

www.sgexpericias.com.br

Rua Vergueiro, 2556, 4th floor, Vila Mariana, São Paulo, SP, Brazil



Chat on WhatsApp